



NIH Update – Fall 2010

November 3, 2010

National Council of University Research Administrators

Joe Ellis, Director

Carol Wigglesworth, Grants Policy Analyst

Office of Policy for Extramural Research Administration



NIH Leadership News

- Lawrence Tabak, D.D.S., Ph.D. Principal Deputy Director
- Sally J. Rockey, Ph.D. Deputy Director for Extramural Research
- Kathy L. Hudson, Ph.D., Deputy Director for Science, Outreach, and Policy

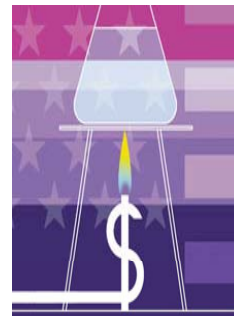


NIH Budget and Recovery Act News



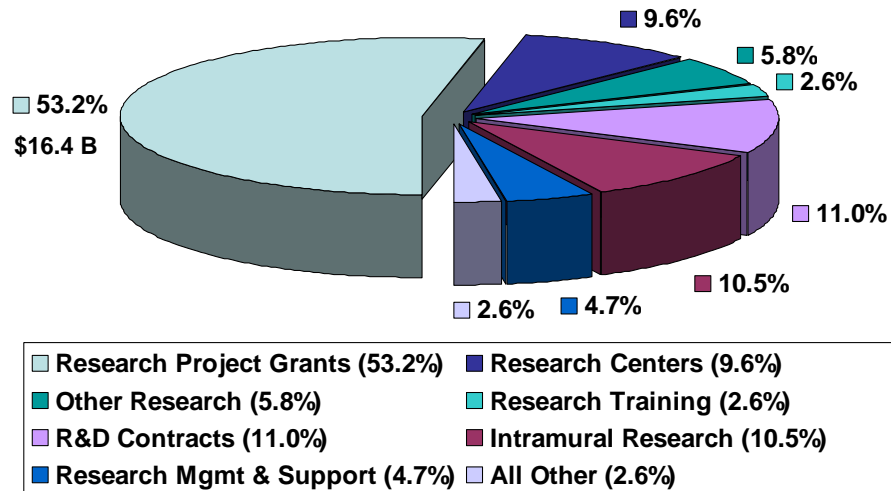
NIH Budget FY 2010 & 2011

- President's 2011 Budget Request: \$32.2 billion
 - Increase of 3.2% from FY 2010
 - Increase will support Innovative high throughput technologies, including DNA sequencing, imaging, and computational biology
- NIH currently operating under a Continuing Resolution through 12/3/2010





Breakdown of FY 2011 President's Budget Request for NIH – \$32.2 Billion



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NIH Director's Early Independence Award (EIA)

NIH is finding new methods to encourage funding (and hiring) of early-stage investigators.

- Revised New and Early Stage investigator policies (see [NOT-OD-09-013](#))
 - Goal: funding new investigators at same rate as established investigators submitting new projects
- K99-R00 Program to foster the independence of new investigators
 - Goal: facilitating a timely transition from postdoctoral position to a stable independent position
- NIH Directors New Innovator Award
 - Goal: Support outstanding early stage investigators as they pursue high risk/high reward research

More at: <http://commonfund.nih.gov/earlyindependence>

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NIH Director's Early Independence Award (EIA)

- New program to allow exceptional young investigators to “skip” the post-doc
- Inspired in some respects by programs at Carnegie, Whitehead, UCSF, and other institutions that show exceptional individuals do not require a post-doc to undertake pioneering research
- NIH solicited and incorporated input from the research community
- First year will be a pilot with approximately 10 awards

More at: <http://commonfund.nih.gov/earlyindependence>

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NIH Director's Early Independence Award (EIA)

- Investigator
 - Must be within 12 months of graduation
 - Must demonstrate exceptional creativity
 - Research relevant to NIH Mission
 - Strong letters of recommendation
- Institution
 - Ensures independent lab space, supplies, staff, equipment
 - Appointment up to 5 years
 - Protected research time for development as research
 - Proposed research complements and enhances institutions programs
 - May choose to retain candidate

More at: <http://commonfund.nih.gov/earlyindependence>

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NIH Director's Early Independence Award (EIA)

- Funding:
 - Seeking truly exceptional early career scientists who would benefit by skipping the post-doc
 - Institutions recruit exceptional junior scientists to apply for these positions
 - Junior scientists may identify host institution and negotiate a position
 - An institution may submit up to two applications
 - Awards will be up to \$250k per year (direct costs) for up to 5 years
 - Selection process is expected to be very competitive
- For more information
 - [RFA-RM-10-019](#)
 - <http://commonfund.nih.gov/earlyindependence>
 - earlyindependence@mail.nih.gov

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ARRA

- Funding Completed
 - Issued final ARRA awards September 2010
- Compliance with Quarterly Reporting Requirement
 - OMB Directive M-10-17 (5/4/2010) issued guidance for agencies on “Holding Recipients Accountable for Reporting Compliance...”
 - June 30, 2010 Reports = 28 instances of noncompliance (all 1st timers)
 - OMB Directive M-10-34 (9/24/10) Improving transparency of narrative descriptions in recipient reporting.
 - Must provide, at a minimum, clear and complete information on the award's purpose, scope and nature of activities, outcomes, and status of activities.
- Timely Reporting and Closeout is essential

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More at: <http://www.grants.nih.gov/recovery/>



Unique Business Processes for ARRA: Modified Carryover Authorities

Grant Number	Document Number	Notes
5 R01 AI123456-04	RAI123456A	Non-ARRA Funded
2 R01 AI123456-05	RAI123456Z	ARRA Funded
5 R01 AI123456-06	RAI123456Z	ARRA Funded
2 R01 AI123456-07	RAI123456B	Non-ARRA Funded

- 04 Yr funds **CANNOT** be carried forward into -05; -04 year financially closed
- 05 Yr funds **CAN** be carried forward into -06
- 06 Yr funds **CANNOT** be carried forward into -07
- 04 Yr funds **CANNOT** be carried forward into -07

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ARRA Reminders

- Reminders for spending and no-cost extensions for ARRA awards: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-067.html>
 - Spending should be timely and expeditious and in accordance with expected pace of research
 - Standard NIH Terms of Award apply in addition to ARRA specific terms.
 - Grantee has authority to extend project period end dates up to 12 months. (This is separate from the non-ARRA parent for Type 3 awards.)
 - Additional (prior approval) extensions will only be considered in limited circumstances

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Transparency Act (aka FFATA)

- What are the requirements for DUNS and CCR?
 - All applicants required to have DUNS.
 - Requirement since 2003.
 - All subawardees required to have DUNS
 - New.
 - All applicants must maintain current CCR.
 - Does not apply to subrecipients.
 - Already required for electronic applications.
 - All grantees will be required to maintain CCR.
 - Does not apply to subrecipients.
 - New
- When is this rule effective?
 - Effective for new awards made on or after 10/01/10

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<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-004.html>



Transparency Act

- Executive Compensation Reporting for Prime Grantee
 - When is this rule effective?
 - Effective for new awards made on or after 10/01/10
 - What triggers reporting?
 - Awards of \$25,000 or more, and
 - In previous fiscal year, entity received
 - 80% or more in annual gross revenue from Federal grants, subaward, contracts, and subcontracts subject to the Act, and
 - \$25,000,000 or more annual gross revenue from Federal grants, subaward, contracts, and subcontracts, and
 - Public access to the information is not already available
 - When are reports due?
 - End of month following month in which award was issued
 - Where is the information reported?
 - CCR as part of your institution's registration profile

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<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-005.html>



Transparency Act

- Executive Compensation Reporting for first tier subawards
 - When is this rule effective?
 - Effective for new awards made on or after 10/01/10
 - What triggers reporting?
 - Subawards with obligations of \$25,000 or more, and
 - In the subrecipient's previous fiscal year
 - 80% or more in annual gross revenue from Federal grants, subaward, contracts, and subcontracts subject to the Act, and
 - \$25,000,000 or more annual gross revenue from Federal grants, subaward, contracts, and subcontracts, and
 - Public access to the information is not already available
 - When are reports due?
 - End of month following month in which obligated was made
 - Where is the information reported?
 - Subrecipient reports to Prime and Prime reports to FSRS

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<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-005.html>



Transparency Act

- Subaward Reporting for first tier subawards
 - When is this rule effective?
 - Effective for new awards made on or after 10/01/10
 - What triggers reporting?
 - Subawards obligations of \$25,000 or more
 - When is the report due?
 - By end of month following month the subaward obligation was made
 - Where is the information reported?
 - To FFATA Subaward reporting system (FSRS) fsrs.gov
 - FSRS subaward reporting for grants will be available October 29, 2010
 - XML Upload to follow November 15, 2010
 - Information made publicly available at USASpending.gov
 - FSRS User support through the Federal Service Desk www.fsd.gov
 - Where can I get more information on subaward reporting ?
 - USASpending.gov under the "What's New" tab

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<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-005.html>



Transparency Act

- Exemptions to subaward reporting requirement for first tier subawards
 - ARRA Grants
 - Grants to Individuals
 - Federal Agency is Prime Recipient
 - Classified Awards
 - Recipients with under \$300,000 gross income in previous tax year

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<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-005.html>



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New Scientific and Grants Management Policies



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NIH Grants Policy Statement Revision - FY2011

- **Effective October 1, 2010, revision will supersede the December 2003 version**
 - incorporates policy changes since 12/03, public policy changes, clarification of existing policies
 - Includes new chapters recommended by users (Career Awards, Multiple PD/PI), terminology changes as well as document enhancements.
 - Improves organization of existing chapters
- http://grants.nih.gov/grants/policy/nihgps_2010/index.htm

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<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-003.html>



Revised Policy for JIT Submissions

- NIH grant applicants are responsible for verifying the accuracy and validity of all administrative, fiscal, and programmatic information at the time of submission.
- [NOT-OD-10-120](#) notice extends that policy to information submitted through the Just-in-Time process.
- Applicants are responsible for promptly notifying NIH of any substantive changes to previously submitted Just-in-Time information up the time of award, including:
 - Current other support, certifications, hESCs, other information requested by awarding IC
- Considered current for a period of up to 120 days

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Mandating eSNAP

- All SNAP progress reports must now be submitted electronically through the eRA Commons eSNAP module
- SNAP provisions automatically expanded to all SNAP grantees:
 - IRB/IACUC dates not routinely required in progress report
 - Reports due 45 days instead of 60 days before anniversary
 - Ability to delegate submit authority to the PD/PI
- All grantees with SNAP awards are now automatically “enabled” to submit eSNAPs
- PHS 2590 Instructions updated:
<http://grants.nih.gov/grants/funding/2590/2590.htm>
- Revised eSNAP User Guide posted at
http://era.nih.gov/docs/eSNAP_UG_v2.25.0.0_041210.pdf.

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<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-093.html>



Post-Submission of Application Materials, Prior to Peer Review

- [NOT-OD-10-115](#)
 - Effective September 25, 2010 due date
 - Acceptable post-submission materials allowed only if they result from unforeseen administrative issues
 - Page limits for supplemental information
 - Must have concurrence of Authorized Organization Representative
- [NOT-OD-10-104](#) NIH Training and Related applications
 - Effective September 25, 2010 due date
 - Updated information and data on the applicant pool, admissions, enrollment, appointments and/or achievements
 - Updated faculty research support
 - Exceptions to this policy and other training-related activity codes (e.g., R25) in FOA
 - Concurrence of Authorized Organization Representative continues

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Genomic Array Costs

- Facilities and Administrative (F&A) costs awards with Genomic Arrays (GA) costs
 - **First \$50,000 treated as supplies**
 - **Amount in excess of \$50,000 treated as consortium costs, F&A rate applied to first \$25,000 of excess each year**
 - <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-097.html>

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<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-039.html>



Resubmission Timeline

- NIH will not accept a Resubmission that is submitted later than 37 months after the date of receipt (“receipt date”) of the initial New, Renewal, or Revision application.
- NIH Guide [NOT-OD-10-140](#)

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Multi-year Funded (MYF) Award Progress Reports

- MYF awards are not funded incrementally (1 yr at a time), but have a budget period and project period that are the same, and are longer than 1 year
- New standardization of submission and content of progress reports for multi-year funded awards
- Will allow for enhanced monitoring of progress and enforcement of terms of award
- Traditionally multi-year funded: C06, DP2, DP3, R15

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See: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-010.html>



Multi-year Funded (MYF) Award Progress Reports

- Progress reports are due on or before the anniversary date of award
- Instructions on how and what to submit:
 - <http://grants.nih.gov/grants/policy/myf.htm>
- Report is a PDF uploaded through the eRA Commons (NOT eSNAP)
- Reporting period is calendar year preceding anniversary
- PD/PI will receive eNotifications:
 - Two months prior to the anniversary date of the award stating that progress report is due
 - Acknowledgement when progress report is received
 - Past due eNotification if progress report not received.

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<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-010.html>



Human Embryonic Stem Cell Research

- The receipt, processing, review, and awarding of NIH applications and proposals, involving human embryonic stem cells will continue and NIH Human Embryonic Stem Cell Registry will resume accepting submissions.
- See NOT-OD-10-136, dated September 10, 2010 (<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-136.html>)

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More at: <http://stemcells.nih.gov>



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Highlights of New and Existing Grant Requirements



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Financial Conflict of Interest (FCOI) Regulations (10/1/95)

- FCOI regulations require that grantee institutions:
 - maintain an appropriate written, enforced policy on conflict of interest that complies with the regulations.
 - establish adequate enforcement mechanisms and provide for sanctions, where appropriate.

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Proposed Revision to FCOI Regulations

- Notice of Proposed Rule Making (NPRM)
 - Comment period closed 8/19/10
 - Currently evaluating comments received
- Next Step – publication of new final rule

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FCOI Resources

- OER FCOI Web Site
 - <http://grants.nih.gov/grants/policy/coi/>
- Frequently Asked Questions
 - <http://grants.nih.gov/grants/policy/coifaq.htm>
- Web-based tutorial
 - <http://grants.nih.gov/grants/policy/coi/tutorial/fcoi.htm>
- Mailbox for inquiries
 - FCOICompliance@mail.nih.gov

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Audit Requirements

All NIH Grantees that expend \$500,000 or more within a year in Federal awards are subject to an audit requirement.

- Audits are due within the earlier of 30 days after receipt of the auditor's report(s) or 9 months after the end of the grantee's audit period.
- Grantees delinquent in submitting audits risk the imposition of sanctions and potential loss of Federal funds.

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Summary of Audit Requirements

Grantee Type	Source of Audit Requirement	Where to Submit Audit Reports
State & Local Governments	OMB Circular A-133	Federal Audit Clearinghouse 1201 E. 10th Street Jeffersonville, IN 47132 Questions: 1-800-253-0696
Colleges & Universities		
Non-Profits		
Hospitals		
For-Profits	45 CFR Part 74.26 (d)	National External Audit Review Center HHS Office of Inspector General HHS Office of Audit Services 1100 Walnut Street, Suite 850 Kansas City, MO 64106-2197 Phone: 800-732-0679/816-426-7725
Foreign	NIH Grants Policy Statement (same as For-Profits)	(same as For-Profits)



Closeout Final Reports

Grantees are **strongly encouraged** to submit closeout documents electronically via eRA Commons

- Failure to submit timely reports may affect future funding to the organization.
- Documents are due within 90 days of project period end date
 - Final Financial Status Report (must be submitted electronically)
 - Final Inventions Statement & Certification
 - Final Progress Report
- Grantees must ensure that there are no discrepancies between the final Financial Status Report (SF-269 or 269A) and the Payment Management System's Federal Financial Report (SF-425) cash transaction data elements



NIH Centralized Processing Center

- Centralized office accepts receipt of all non-financial, paper-based closeout documents
 - Final Progress Report
 - Final Invention Statement and Certification
- If not using eRA Commons, mail to the Central NIH unit at:

NIH Centralized Processing Center
6705 Rockledge Drive, Room 2207, MSC 7987
Bethesda, MD 20892-7987 (for regular or US Postal Service Express mail)
Bethesda, MD 20817 (for other courier/express mail only)

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Non-Competing Continuation Progress Reports

- Failure to submit timely progress reports may affect future funding to the organization
- Non-SNAP annual progress reports are due two months prior to the anniversary date
- SNAP progress reports are due 45 days prior to the anniversary date (must use eSNAP)
- All grantees have access to a searchable list to determine which progress reports are due at: http://era.nih.gov/userreports/pr_due.cfm

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Fed-wide Streamlining Efforts



Federal Financial Report (FFR) SF 425

- FFR (SF 425)
 - replaces the Cash Transaction Report (SF 272) and the Financial Status Reports (SF 269, SF 269A)
- PMS implementation of Federal cash data portion of FFR
 - effective 1/1/2010 (NIH Guide Notice NOT-OD-10-038)



Federal Financial Report (FFR) SF 425

- NIH implementation of expenditure data portion of FFR
 - Current pilot of eRA eFFR with 8 FDP institutions
- Mandatory for all NIH grantees as of 1/1/11
 - Grantees will use eFFR/FSR module in eRA Commons
 - Cumulative data reported (not current/previous years)
 - Due dates for annual FFRs will be 90 days after the end of the calendar quarter in which the budget period ends
 - No change in due dates for final FFRs
 - Revised reports must be completed in format of original (FSR or FFR)

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watch for a Guide Notice



Research Performance Progress Report (RPPR)

- Final RPPR format posted in Spring 2010
- Agencies must publish implementation plans in January 2011
- NIH will implement in an electronic environment
- NIH goals are to minimize impact on grantees
- An NIH workgroup is evaluating the differences in RPPR and PHS 2590 and recommending an implementation plan

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Human Subjects and Animals: Requirements and Compliance



Office of Extramural Research Human Subjects Program

- **OER Office** provides expert advice and leadership in the areas of policy development, evaluation and implementation for human subjects protection issues related to the **NIH Extramural research programs**
- Help ensure the compliance of NIH grantees with Federal regulations regarding the protection of human subjects
- Assess and approve resolution of human subjects concerns noted by NIH review groups for fundable applications
- As a public service, the NIH Office of Extramural Research offers a free tutorial on [Protecting Human Research Participants](#). Training also available in Spanish.



Office for Human Research Protections (OHRP)

- **Mission:** Provide leadership in the protection of the rights, welfare, and wellbeing of subjects involved in research conducted or supported by the **U.S. Department of Health and Human Services (HHS)**
- Provide clarification and guidance
- Design educational programs and materials
- Maintain regulatory oversight
- Provide advice on ethical and regulatory issues in biomedical and behavioral research

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<http://www.hhs.gov/ohrp>



News from OHRP

- **OHRP Videos on YouTube**
 - Includes "Research Use of Human Biological Specimens and Other Private Information" and "Reviewing and Reporting Unanticipated Problems and Adverse Events"
 - Two new videos, "Institutional Review Board (IRB) Membership" and "General Informed Consent Requirements Parts I (Research Investigator) and II (Research Subject)"
 - <http://www.youtube.com/user/USGOVHHS#g/c/5965CB14C2506914>
- **OHRP Posts Correspondence Regarding Use of Central IRB in Multi-site Research**
 - <http://www.hhs.gov/ohrp/policy/correspond/CIRB20100430.html>

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More at: <http://www.hhs.gov/ohrp>



OLAW Educational Outreach

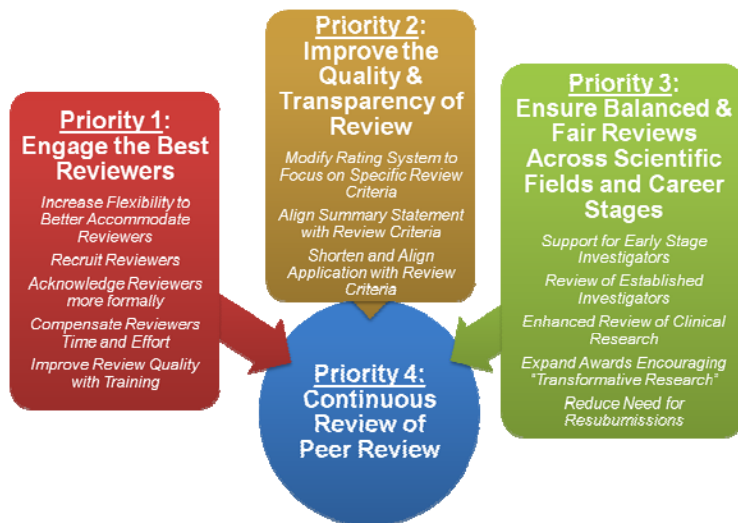
- 2010 OLAW Guide Notices:
<http://grants.nih.gov/grants/olaw/references/notices.htm>
- New FAQs: <http://olaw.nih.gov>
- OLAW Online free quarterly webinars
 - OLAW IACUC Staff Outreach
<http://grants.nih.gov/grants/olaw/e-seminars.htm>
 - OLAW IO Outreach
<http://grants.nih.gov/grants/olaw/outreach.htm>
 - Recordings of past webinars
http://grants.nih.gov/grants/olaw/educational_resources.htm



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Enhancing Peer Review: Summary of Recommendations



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<http://enhancing-peer-review.nih.gov>



Highlights of Enhancing Peer Review

- Phase-out of A2 resubmission applications
- New and early stage investigator policies
- Expansion of continuous submission policy
- Enhanced peer review criteria
- New 1-9 scoring system
- Scoring of individual review criteria
- Templates for structured reviewer critiques
- Score order review
- Clustered review of new and ESI R01 apps
- Restructured and shorter applications

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<http://enhancing-peer-review.nih.gov>



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New Resources



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New Frequently Asked Questions

- Senior/Key Personnel
 - http://grants.nih.gov/grants/policy/senior_key_personnel_faqs.htm
- All Personnel Report
 - http://grants.nih.gov/grants/funding/all_personnel_report_faq.htm
- FAQs address:
 - Application preparation
 - Progress report preparation
 - Post-award actions

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http://grants.nih.gov/grants/frequent_questions.htm



Funding Opportunity Announcements

- New FOA templates implemented:
 - Shorter FOAs
 - Use of consistent language
- All applications intended for due dates of September 25, 2010, and beyond must be submitted in response to an FOA.
<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-134.html>

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NIH Regional Seminars

- 2011 Schedule:
 - March 28 & 29, 2011 - Phoenix, AZ
 - June 23 & 24, 2011 - Fort Lauderdale, FL
- For grantee administrators and researchers
- Faculty includes NIH policy officials, grants management, program and review staff, eRA Commons trainers, OHRP, OLAW
- Information at: <http://grants.nih.gov/grants/seminars.htm>

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Tools to Search NIH Funding RePORT

- Replaced the former Award Information and Data web page and provides:
 - Quick access to “Frequently Requested Reports”, FAQs
 - Efficient search tools for locating data and reports
 - Links to funding estimates for certain research areas, conditions, and diseases.
 - Includes ARRA-specific data queries

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More at: <http://report.nih.gov>



Tools to Search NIH Funding RePORTER

- **Broader Research Portfolio Online Reporting Tool Expenditures and Result (RePORTER)**
 - Replaced CRISP. Combines NIH project databases and funding records, PubMed abstracts, full-text articles from PubMed Central, and information from the U.S. Patent and Trademark Office with a robust search engine, allowing users to locate descriptions and funding details on NIH-funded projects along with research results.

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<http://projectreporter.nih.gov/reporter.cfm>



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Update on Electronic Submission & eRA Commons



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Part 1: What's new in Electronic Submission?



Elimination of Error Correction Window

- **Beginning with due dates on or after January 25, 2011, NIH will eliminate the error correction window from the application submission process. A few key messages:**
 - The elimination of the error correction window will not affect the two-business-day application viewing window. Applicants will still be able to view and reject their application and submit a changed/corrected application ***prior to the submission deadline.***
 - Applicants are encouraged to submit early – early submission is the best way to ensure eSubmission success.





New “B1” Forms Packages

- Updated SF424 (R&R) application forms are currently being rolled out. The new forms are identified with a competition ID of “ADOBE-FORMS-B1.”
- F, K, T & D applicants are required to download and use the new forms for all submissions targeting due dates of January 25, 2011 and beyond.
- Form requirements for all other submissions are as follows:
 - For deadlines on or before May 7, 2011, applicants may use either the current ADOBE-FORMS-B or the new ADOBE-FORMS-B1 packages.
 - For deadlines after May 7, only applications submitted on ADOBE-FORMS-B1 will be accepted.
- If more than one forms set is available, applicants should use the most recent (highest numbered) package.

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<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-11-008.html>



Forms Updates Reflected in ADOBE-FORMS-B1 Packages

- **New “B1” application packages include these notable updates:**
 - **Project/Performance Site Location(s)** form: A DUNS (Database Universal Numbering System) number is no longer required by Grants.gov for the primary performance site. Note: This field remains an NIH requirement and is enforced by NIH business rules.
 - The **R&R Other Project Information** form has been updated to enable the Human Subject Assurance Number field whenever the answer to “Are Human Subjects Involved?” is “Yes”.
 - Existing program-specific **page limits for Career Development (K)** submissions will now be electronically enforced. K applications that exceed the page limits will receive eRA Commons errors, which must be corrected before the submission deadline.
 - The **PHS Fellowship Supplemental Form** for Fellowship (F) submissions has a new “Sponsor(s) and Co-Sponsor(s)” attachment. This form will be used to collect the information previously collected in the “Other Attachments” section of the R&R Other Project Information form.

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Reminder: Tips for eSubmission Success

- **Register Early!**
Registration with both Grants.gov and eRA Commons must be completed before the submission deadline. Registration is not sequential: Grants.gov and eRA Commons registrations may be completed simultaneously once a DUNS# is obtained.
- **Carefully follow the specific guidelines found in the Application Guide and the Funding Opportunity Announcement (FOA).**
Be aware that the instructions in the FOA trump those found in the application guide.
- **Check your application for common errors before you submit!**
If errors are found in the submission process, you must submit a changed/corrected application before you can view the application in the eRA Commons!
- **Correct any errors before the submission deadline.**
- **Remember:**
YOU are responsible for verifying that your application is viewable in the eRA Commons. If you can't view your application, NIH can't review it!
- **Submit Early!**
Early submission is the best way to ensure eSubmission success!

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Electronic Submission Resources

- **Applying Electronically website:**
<http://grants.nih.gov/grants/ElectronicReceipt/index.htm>
- **Annotated SF424 (R&R) Application Forms (General and Small Business):**
<http://grants.nih.gov/grants/ElectronicReceipt/communication.htm#forms>
- **Ten Checks to Help Avoid Common Application Errors:**
http://grants.nih.gov/grants/ElectronicReceipt/avoiding_errors.htm#10checks

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Part 2: What's new in eRA Commons?



eRA Integration of PD/PI Citations from My NCBI

- eRA Commons linked to the National Center for Biotechnology Information's personal online tool, "My NCBI."
- My NCBI's has a bibliography feature called My Bibliography.
- As of 10/22/2010, citations that PD/PIs manually entered directly into eRA Commons ("PD/PI Entered") will no longer be available. PD/PIs must transfer these citations to a My NCBI account to retain them for future use (i.e. in a future progress report).
- Resources:
 - Overview & Benefits: http://era.nih.gov/ncbi/ncbi_overview.cfm
 - Guide Notice: <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-103.html>
 - eRA Virtual School web-based demos: http://era.nih.gov/virtualschool/external/class_bib.htm



Additional October 2010 eRA Commons Enhancements:

- **Multi-PD/PI functionality:**
 - Multi PD/PIs are listed, and Contact PD/PI is indicated as such, on Commons Closeout screens and Submit FSR screen.
 - All PD/PIs on a grant can upload Final Progress Report (FPR).
New: FPRs must be submitted in PDF format.
 - Final Invention Statements (FIS): PD/PIs no longer required to verify FIS before Signing Official can submit to Agency. Any PD/PI can upload; only SO can approve/submit.
 - xTrain: PDs at each training institution have authority to approve appointments, reappointments, amendments and termination notices.
- **Multi-year Progress Reports:**
 - “Multi-Year PR” link becomes available to PD/PI 10 months from the project period start date.
- **New No-cost Extension drop-down:**
 - New drop-down allows users to choose an extension of 1-12 months.

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xTrain Enhancements and 2011 Mandate

- As of October 22, 2010, xTrain will allow for the termination of Fellowship grants.
- A new role of “Sponsor,” similar to the PD/PI role, has been created for the termination of Fellowships.
- Effective January 1, 2011, NIH will require electronic submission of appointment forms and termination notices for research training, fellowship, education and career development awards using xTrain. Paper forms will not be accepted.

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More at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-10-072.html>



eRA Commons Resources

- **New eRA website:**
<http://era.nih.gov/>
- **Updated (7-2-2010) Commons User Guide:**
http://era.nih.gov/docs/COM_UGV2630.pdf
- **Updated (8-6-2010) eSNAP User Guide:**
http://era.nih.gov/docs/eSNAP_UG_v2.25.0.0_041210.pdf
- **New Self Help Resources page:**
http://era.nih.gov/help/self_help.cfm

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NIH OFFICE OF EXTRAMURAL RESEARCH



Helpful NIH Technical Assistance Resources



OPERA



Web Page on NIH Extramural Response to Natural Disasters

Part of NIH's commitment to the health of the biomedical community in the impacted areas, and concern for the health and safety of people and animals in the programs we oversee.

Includes:

- Links to Recent Guide Notices and event-specific web page
- Links to other Federal web sites for disasters
- NIH Emergency Contact Information
- Examples of extramural assistance provided to previous natural disasters and emergencies
- Frequently Asked Questions

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http://grants.nih.gov/grants/natural_disasters.htm



Summary of Helpful NIH Web Pages

- Office of Extramural Research (OER) Web Page
<http://grants.nih.gov/grants/oer.htm>
- NIH Searchable Database of RFAs, PAs, and Guide Notices
<http://grants.nih.gov/grants/guide/index.html>
- NIH Grants Policy Statement (Rev. 12/03)
http://grants.nih.gov/grants/policy/nihgps_2003/index.htm
- NIH Extramural Nexus – Monthly newsletter (previously bimonthly) for the extramural community
<http://grants.nih.gov/grants/nexus.htm>
- RePORTER - Search to analyze an Institute's portfolio of funded projects, research areas, and more <http://projectreporter.nih.gov/reporter.cfm>
- Grant Application Basics http://grants.nih.gov/grants/grant_basics.htm
- Intellectual Property Policy
<http://grants.nih.gov/grants/intell-property.htm>

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NIH OER Listserv Addresses and Instructions

- Office of Biotechnology Activities (OBA):
 - https://list.nih.gov/archives/oba_news.html
- Office for Human Research Protections (OHRP):
 - <http://www.hhs.gov/ohrp/news/distributionlist.html>
- Office of Laboratory Animal Welfare (OLAW):
 - <http://grants.nih.gov/grants/olaw/references/list.htm>
- eSubmission:
 - <http://era.nih.gov/ElectronicReceipt/listserv.htm>
 - Separate listservs available for scientists and administrators
- NIH Guide for Grants and Contracts:
 - <http://grants.nih.gov/grants/guide/listserv.htm>
 - The official publication for NIH medical and behavioral research Grant Policies, Guidelines and Funding Opportunities

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Grants Information: Who to Contact!

- **Institutional Resources** – First, utilize the expertise of your organization's **Office of Sponsored Programs**

Application Specific Questions

- **Administrative** - Contact the Grants Management Specialist at the awarding Institute/Center
- **Scientific/Programmatic** - Contact the designated Program Official/Director at awarding Institute/Center
- **Review Questions** - Contact the assigned Scientific Review Officer

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Grants Information: Who to Contact!


- Grants Administration individuals at all NIH ICs:
 - http://grants.nih.gov/grants/staff_list_grants_admin.htm
- NIH Chief Grants Management Officers:
 - http://grants.nih.gov/grants/stafflist_gmos.htm

- Grants Policy Interpretation & Consultation:
 - E-Mail: GrantsPolicy@mail.nih.gov
 - Phone: 301-435-0949
- Compliance Issues:
 - E-Mail: GrantsCompliance@mail.nih.gov
 - Phone: 301-435-0949

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Grants Information: Who to Contact!

- General Application Questions: (e-Submission guidelines, resources & referrals, application review & award process, etc.)
 - E-Mail: GrantsInfo@nih.gov
 - Phone: 301-435-0714
- Customer Support for Grants.gov: (navigating forms, aspects of submitting through the system, resources available, etc.)
 - E-Mail: support@grants.gov
 - Webpage: <http://grants.gov/>
 - Phone: 1-800-518-4726
- eRA Commons Help Desk: (Commons registration help, application verification, Commons functionality questions, etc.)
 - Webpage: <http://ithelpdesk.nih.gov/era/> 
 - Phone: 301-402-7469 (Toll Free: 866-504-9552)

Enter your own
help ticket!

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Thank You!

Any Questions?



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