

Data, Analyses, Reports and Personnel of the Office of Planning and Analysis

This document describes the Office of Planning and Analysis, and is organized into five sections:

- Mission
- Data used
- Current reports, analyses and surveys
- Future reports, analyses and surveys
- Personnel of the Office of Planning and Analysis

I. Mission

The mission of Planning and Analysis is to provide analytical support and data for planning and decision-making in the University of Kansas Medical Center (KUMC) and to serve as the source for official data about KUMC.

II. Data Used

In this section we provide descriptions of the major data sources we use.

Research Grant Data

The PeopleSoft Grants Module is the primary source for the research grant data. One of the goals of Planning and Analysis staff has been to improve the quality of research grant data and reporting to meet the needs of KUMC in this important area. This effort is ongoing.

In preparation for the monthly report, an extract of all proposals and awards is prepared and provided to the Research Institute. The Research Institute checks the new awards and proposals to ensure that they have all been entered in the database. The monthly report, which is described in more detail under the section, "[Annual/Recurring Reports](#)," below, accesses the following main tables: grants_proposal, grants_period, grants_awd_increment, grants_success, and grants_active_awd.

In preparation for the Annual Report, an extract is also prepared and submitted to the Research Institute for checking. The Research Institute is asked to specifically check for appropriate assignment of departments and to check sponsors and dollars for awards that were active during the fiscal year. Other inconsistencies are corrected as they are detected. Twenty-one variables are included in this extract; they include proposal id, primary speedtype, proposal status, purpose, primary department name, proposal title, funding type, sponsor, sponsor award number, principal investigator emplid, principal investigator name, awarded start date, awarded end date, awarded direct costs, awarded indirect costs, awarded sponsor costs, increment award date, increment direct costs, increment indirect costs, increment sponsor costs, and a no-cost extension flag.

In order to ensure the accuracy of research grant data on a regular basis, a number of data edit reports are provided to the Research Institute on a recurring basis:

- Past pending proposals (all pending proposals with a requested start date over six months old so the Research Institute can determine the current status of the proposal)
- Inconsistent data (a report listing fields with conflicting yet similar data)
- Database-to-database links (linking the PS Grants Module to FIN to uncover problems, for instance, blank fields or mistakes)

PeopleSoft HR is the source of data for the Centers Reports (which provides a way to report on research grant activity of the 10+ Centers). Kathy Schleeter serves as data manager for the Centers Reports.

There is a steady stream of requests of research grant awards and proposals for various time periods and departments/programs/schools. In addition, there is an almost daily demand for one or more "other support" reports, also called "Research Grant Investigator Effort" reports. These reports provide awarded and pending grants information for individual investigators to Research Institute and other university faculty/staff. The grants information provided includes PI

name, grant title, grant sponsor, grant sponsor award ID, award/requested start and end date, investigator's name, percent effort/aggregated percent effort, months in committed/pending, the direct cost of current/first period, the total cost of current/first period, the total direct/pending direct cost, the total amount of granted/pending award, and the grant status.

Student Data

For consistency in reporting student data, frozen SAS datasets are prepared for each semester for the opening day of the term, the 20th day (which has been chosen as the official reporting day for Fall and Spring) of the term for Fall and Spring semesters, and the last day of the term. (Because of the shorter length of the summer term, the end-of-term graded extract is used for official reporting for the Summer semester). Frozen SAS datasets are prepared because of the nature of student enrollment, which is ever-changing.

Planning and Analysis begins to review the data prior to the opening day of enrollment for each semester and updates on-line reports that are available for various KUMC schools and programs to use to check student data (it can be downloaded as Excel spreadsheets). Special care is given to checking accuracy of hierarchical assignments of student groups, which determines how students are counted.

On the tenth day of the Fall and Spring semesters, when enrollment has stabilized somewhat, Planning and Analysis prepares a preliminary data extract, notifies all programs that student data is available for checking, and tests the enrollment report program. KUMC schools and programs work with the Registrar to make any needed changes/corrections.

The student dataset currently has 140 variables. Student dataset variables include academic career, academic plan, academic sub-plan, academic program, ACT scores (composite, English, math, reading, science/reasoning), address, address type, age, age group, birth date, campus, campus code, campus id, emplid, citizenship, whether student has applied to graduate, expected completion term, degree, cumulative GPA, cumulative hours, country, degree, race/ethnicity, ethnic group, whether the student has placed a FERPA hold, first term enrolled, FTE, full-time or part-time status, GRE (comp, essay, quantitative, verbal), student group, KBOR FTE, KBOR hours, KBOR level, hours by campus, FTE by campus, off-campus hours, marital status, name, new student type, on or off-campus, zip code, residency, residency country, residency county, residency state, SAT scores (Comp, Math, Verbal), school group, semester, gender, total grade points, tuition cohort group, tuition fee assessed, tuition fee waived, campus location, report key, and report key description.

The student datasets provide the information for a myriad of varied requests, for example:

- Post-audit enrollment request for 10-year headcount and student FTE enrollment by campus
- Determination of average credit hours for students with at least 3 total hours but less than 6 in academic careers UGDK and GRDK (summer); determination of average credit hours for students with at least 6 total hours but less than 12 in the academic career UGDK and at least 6 total hours but less than 9 in the academic career GRDK.
- Medical student enrollment for medical malpractice bid

Degrees Awarded Data

An all-KU file of degrees is prepared for the prior fiscal year and is usually available around mid-September after the Registrar has checked the data and added the final degree completers. The data are checked extensively to ensure that academic plan and level are consistent and accurate. A preliminary list and count of degrees awarded is run the end of July and then compared with the official file in mid-September.

KUMC's fiscal year degrees file contains 144 variables. Variables in this file include name, national id, emplid, number of degree, a variety of addresses, gender, marital status, birth date, birthplace, birth state, birth country, phone numbers, e-mail addresses, highest education level, emergency contact, age, degree type, academic plan, transcript description, honors, academic plan, class rank, degree completion term, institution, military service, and school.

The degrees awarded file provides a rich source of data that is used to respond to many ad hoc requests. Some recent requests are listed below:

- Number of School of Allied Health Graduate degrees (DPT, MS, and PhD) awarded since the beginning of the program.
- Number of PhD degrees awarded by type for FY2008 for the KU Endowment Annual Report
- List of degrees and certificates
- PhD's awarded to Hispanic students in the past 10 years

Faculty and Staff Data

The faculty and staff dataset (called “Facstaff”) is prepared with data extracted as of October 1 of each year and consists of the official faculty and staff complement. The process used to arrive at the complement includes many checks for consistency and results in improving the quality of the data by making needed corrections. Major categories of information included in the Facstaff dataset are funding sources, compensation including benefits, position information, and demographics.

The complete dataset consists of 292 variables. Variables in the Facstaff dataset include: name, total benefits, birth date, biweekly pay, total budgeted benefits (for each department up to 8 departments), budgeted FICA for each department, budgeted health insurance for each department, budgeted sick leave for each department, budgeted workmen’s comp, budgeted sick leave, budgeted FTE for each department, budgeted compensation rate for each department, budgeted retirement for each department, name of each funding source, FTE by fund (i.e., grant fund, general use fund, KU-Lawrence fund, KUPI fund, service clearing fund, scholarships and financial aid, fund, parking fund, restricted fee fund, research overhead fund, business unit, account, budget period, birthplace, city, country, faculty rank for DBTF report, department ID, department name, disability flag, date and time stamp, EEO job, EEO job group, effective date, emplid, ethnicity, ethnic group, affiliation description, affiliation code, appointment, contract, citizenship, degree abbreviation, degree code, degree level rank, degree name, degree year, faculty department code, faculty department name, dissertation chair, faculty ethnicity, length of service, name, faculty FTE, full-time/part-time faculty, gender, last name, first name, length of service (days), length of service (months), faculty rank, faculty rank code, faculty rank level, social security number, start date, tenure code, tenure status, whether chair or not, home phone, HR job code, HR job description, HR primary department, HR EE06 code, highest education level, IPEDS faculty salary flag, job code, job name, job function, administrative cap, job description, Position Classification System (PCS) code, Kansas FTE, and many more.

Following are recent ad hoc requests for faculty and staff data:

- Headcount, tenure status, full-time vs. part-time, rank, and salary information for faculty in the Preventive Medicine and Master of Public Health programs by campus
- Number of volunteer faculty in the SOM (Kansas City and Wichita)
- School of Allied Health faculty from Fall 1996 – Fall 2007 with detailed explanatory information for two periods when the number of faculty declined

Financial Data

Primary sources for financial data are:

- PeopleSoft Financials
Planning and Analysis has access to 69 populated tables in FIN. Six tables are used: ps_department_tbl, ps_ku_bd_base, ps_ku_bd_oper, ps_ku_bd_pos_demo, PSTreeNode, and xltable. The major use of these tables is for preparing the Facstaff dataset.
- Student Administration Record Data System (SARDS)
SARDS is used for tuition and waiver information
- Data from Jerry Glenn:
Statement of Revenues, Expenses and Changes in Net Assets (SCRENA)
Statement of Current Expenditures, Exhibit B-2
B-2 Budget Detail
- Kansas University Endowment Association (KUEA)
- Operating Budget

Recent ad hoc requests for financial information include:

- Review of the Kansas Board of Regents Funding Model
- Tuition for MHSA and MPH students
- Resident and non-resident tuition rates for undergraduate, graduate, and medical students
- Total dollars for endowment

III. Current Reports, Analyses and Surveys

The projects listed in this section are those currently being done by Planning and Analysis.

External Recurring Surveys and Evaluations:

The reports listed in this section are provided directly to external agencies. The first sub-section concerns reports submitted to the Kansas Board of Regents. This is followed by a sub-section dealing with reports submitted to other external agencies.

Recurring Reports Required by the Kansas Board of Regents (KBOR):

Accreditation Report

The report provides the latest accreditation information for each program, including level, accrediting agency, accreditation status, last review, accreditation period, year of last review, accreditation period, year of next review, and any explanations about accreditation status. School of Medicine Residency Programs are also included in this report. Also included is a narrative that provides an executive summary of KUMC academic advising process.

Faculty Salaries and Data Book Tuition and Fees (DBTF)

Faculty headcounts and salaries are reported in spreadsheets and include demographics and salaries by level and organization areas. KUMC reports the following: Section V – General Use Funded Salaries and FTE Count; Section VIII – Tenure Summary of Institutional Personnel; Section IX – Number of Faculty by Age and Discipline; Section X – Number of Faculty by Gender/Ethnic Category; Section XI – Demographic Characteristics of Faculty Departures by Department; Section XII – Number of Faculty Retirements by Age and Department; and Section XIII – Summary of Budgeted Full-time Equivalent Positions.

Kansas Higher Education Enrollment Report (KHEER) Fall Student Demographics

KHEER reports official 20th day Fall Census student enrollment by full-time/part-time status, residency, student level, and on-campus vs. off-campus status.

Kansas Higher Education Enrollment Report (KHEER) Spring Student Demographics

KHEER reports official 20th day Fall Census student enrollment by full-time/part-time status, residency, student level, and on-campus vs. off-campus status.

Kansas Post-Secondary Database (KSPSD) Academic Year Collection

The State of Kansas maintains a database of all students enrolled in all state postsecondary institutions in Kansas in order to respond to KBOR and legislative requests. The KSPSD Academic Year collection includes submission of the following eight files, or datasets: Students' Information, Enrolled Flags, Students' Status, Declared Majors, Sections File, New Undergrads' File, Transfers File, and Completions' File.

Files submitted include official 20th day enrollment for an Academic Year. This information includes enrollment data for each student, course and credit hour, major or award they seek, a selection of demographics: including class level, date-of-birth, ethnicity and gender, class level, transfer or first time indicators and award completions.

Kansas Post-Secondary Database (KSPSD) Fall Census Day Collection

The KSPSD Fall Census Day collection includes submission of the following three files, or datasets for the current fall semester: Students' Information, Enrollments' File, and Declared Majors. Information submitted includes enrollment data for each student, course and credit hour, major or award they seek, a selection of demographics: including class level, date-of-birth, ethnicity and gender, class level, transfer or first time indicators and award completions.

Performance Agreements

The purpose of this report is to provide feedback to the Kansas Board of Regents regarding the accomplishments for each of 20+ performance indicators (there are five goals with multiple performance indicators for each goal): performance outcome (actual performance on the indicator in the calendar year) and the amount of directional improvement (whether the target has been met and whether there has been directional improvement from the baseline towards the target). An explanation of the level of achievement as well as an explanation of what may be needed to improve future performance may be required if the indicator is not achieved.

Program Review Narrative

A five-page summary of the results of an intensive program review required for each program every seven years or so. This generally occurs the year after the program completes its accreditation visit.

Program Review Statistical Overview

The statistical overview is required on an annual basis for each program. It is a comprehensive review that includes financial data, degrees awarded, ACT scores, faculty headcount and FTE (by tenure status), student enrollment, majors, and other data.

Residency Statistics

This report gives full-time and Kansas resident % for total and undergraduate students for the Financial Aid Report.

Tuition Waivers

Tuition waiver numbers and amounts by residency, student level, semester, and type are reported. Foster Care and Returning Residents tuition and fees are reported as well.

Other External Recurring Surveys and Evaluations:

The reports listed in this section are provided directly to external agencies (other than the Board of Regents) on a regular basis.

American Association of Medical Colleges (AAMC) Dean's Office Staff Survey

Annual survey to capture compensation information for selected positions in the SOM Dean's office staff.

American Association of Medical Colleges (AAMC) Tuition and Fees Questionnaire

This is an annual survey of tuition, fees, and living expenses for medical students.

American Association of Medical Colleges (AAMC) Women in U.S. Academic Medicine Survey

The purpose of the annual Women in Academic Medicine Survey is to examine gender distribution of faculty hires, promotions and leadership positions of students, residents, and faculty at U.S. medical schools. This data is published in November of each year and is widely accessed as an authoritative, historical source of changes of women in medicine.

Association of Academic Health Centers (AAHC) Salary Survey

Salary and benefits information for selected senior administrators.

Association of Academic Health Centers (AAHC) Census

This is a report that contains extensive information about the Medical Center, including staff, student, degrees, research, finances, facilities etc information.

Annual Survey of Government Employment

This report gives the number of and gross payroll for full-time and part-time employees by activity for one week in March. This information is reported to the Census Bureau.

County Economic Research Institute, Inc. (CERI) Economic Data Base

The County Economic Research Institute, Inc., better known as CERI, was established in 1986 as a department of Johnson County government and subsequently privatized in 1994 as a non-profit corporation. Its mission is to support the economic development of Johnson County, the Kansas City metropolitan area, and the state of Kansas through the provision of data and technical assistance. We submit basic data, including degrees, faculty, and enrollment statistics.

College Board Annual Survey of Colleges

The Annual Survey of Colleges is a Web-based survey of nearly 4,000 accredited undergraduate colleges and universities in the U.S. The survey collects information of use to high school students, parents, and school counselors about the characteristics of each college including programs, costs, application requirements, and deadlines.

Information collected from the survey is used to populate the following research reports: Trends in Student Aid and Trends in College Pricing, and the following publications: The College Handbook, The Book of Majors, and the International Student Handbook. The following is collected as part of the College Board Survey: general information, enrollment, academic offerings, student life, annual expenses, financial aid, instructional faculty and class size, degrees offered and awarded, administrative officers, and list of majors.

Council of Graduate Schools (CGS)/Graduate Record Exam (GRE) Survey of Graduate Enrollment

CGS' Graduate Enrollment and Degrees report, published annually since 1986, presents the findings of the CGS/GRE Survey of Graduate Enrollment, a joint project of CGS and the Graduate Record Examinations Board.

The report presents findings in two sections: the results of the current year's survey, including statistics on graduate applications for admission, first-time and total enrollment, and degrees conferred; and trends in these areas for one-, five-, and ten-year periods.

The 2006 report included responses from 680 U.S. colleges and universities that are members of the Council of Graduate Schools or one of the four regional graduate school associations.

All information is by discipline: graduate enrollment for fall term by level, full-time vs. part-time status, by gender; number of degrees and graduate certificates awarded by type and gender; number of applications by level; graduate enrollment by ethnicity and gender.

College and University Professional Association for Human Resources (CUPA-HR) AdComp Survey

This report includes faculty and student enrollment data.

Ingram's Magazine Annual "Black Book" Survey

This report provides general information about KUMC, plus data on employees, revenue, and senior personnel at KUMC.

Ingram's Public Colleges and Universities

This report gives data on enrollment, tuition, faculty, administrators, budget, endowment, etc.

Ingram's Research/Life Sciences Institutes

This Ingram's report gives data on research expenditures, funding, labs, PI's, employees, clinical trials, etc.

Integrated Postsecondary Education Data System (IPEDS) Fall Collection

Data submitted includes Institutional Characteristics, Completions, and 12-month Enrollment. Enrollment information includes demographics in ethnicity, gender, transfer indication and degree seeking indication, as well as accumulated credit hours and FTE. The completions are counts by degree.

Integrated Postsecondary Education Data System (IPEDS) Winter Collection

Data submitted includes Combined Human Resources (i.e. Employees by Assigned Position and Salaries), and Fall Enrollment. The Combined Human Resource submission collects employee information on gender, ethnicity, salary, fringe benefits, position level, position category, full-time/part-time status, and tenure status for faculty.

Liaison Committee on Medical Education (LCME) Annual Financial Questionnaire

SOM square footage, expenditures in GTA/GRA stipend, tuition waivers, student health, Kansas Medical Student Loan, and scholarship and federal grants/work study accounts. Estimated # of FTE faculty actively engaged in sponsored program activities

Liaison Committee on Medical Education (LCME) Annual Survey

Student, post-doc and faculty counts by department in the School of Medicine.

Louisiana State University Survey of Academic Medical Centers

Enrollment and research expenditures are included in this report.

National Postsecondary Student Aid Study

The National Postsecondary Student Aid Study (NPSAS) is a comprehensive nationwide study designed to determine how students and their families pay for postsecondary education, and to describe some demographic and other characteristics of those enrolled. The study is based on a nationally representative sample of students in postsecondary education institutions, including undergraduate, graduate, and first-professional students. Students attending all types and levels of institutions are represented, including public and private not-for-profit and for-profit institutions, and less-than-2-year institutions, community colleges, and 4-year colleges and universities. The NPSAS studies are designed to address policy questions resulting from the rapid growth of financial aid programs and the succession of changes in financial aid program policies since 1986.

Each of the NPSAS surveys provided information on the cost of postsecondary education, the distribution of financial aid, and the characteristics of both aided and non-aided students and their families. Following each survey, NCES publishes three

major reports, Undergraduate Financing of Postsecondary Education, Student Financing of Graduate and Professional Education, and Profile of Undergraduates in U.S Postsecondary Education Institutions. NCES is currently in the midst of the 2007-08 NPSAS.

National Science Foundation National Survey of Recent College Graduates

The National Survey of Recent College Graduates (NSRCG) provides information about individuals who recently obtained bachelor's or master's degrees in a science, engineering or health field. This group is of special interest to many decision makers, because it represents individuals who have recently made the transition from school to the workplace. Educational planners within the federal government and in academia use the results of this survey. This survey is also a component of the Scientists and Engineers Statistical Data System (SESTAT), which provides data on the total number and characteristics of individuals with training or employment in SEH in the United States.

Respondents are individuals who recently received bachelor's or master's degrees in an SEH field from a U.S. institution, were living in the U.S. during the survey reference week, and under age 76.

Key variables include age, citizenship status, country of birth, country of citizenship, disability status, educational history (for each degree held: field, level, when received), employment status (unemployed, employed part time, or employed full time), educational attainment of parents, financial support and debt amount for undergraduate and graduate degree, geographic place of employment, marital status, number of children, occupation (current or previous job), place of birth, work activity (e.g., teaching, basic research, etc.), race/ethnicity, salary, overall satisfaction with principal job, school enrollment status, sector of employment (e.g., academia, industry, government, etc.), gender, and work-related training.

National Science Foundation Survey of Graduate Students and Post-doctorates in Science and Engineering

The Survey of Graduate Students and Post-doctorates in Science and Engineering (also known as the graduate student survey or GSS) provides data on the number and characteristics of students in graduate science and engineering (S&E) and health-related fields enrolled in U.S. institutions. NSF uses the results of this annual survey to assess trends in financial support patterns and shifts in graduate enrollment and postdoctoral appointments. The graduate student survey collects data from all U.S. institutions offering graduate programs in all science and engineering fields and specific health-related fields of interest to NIH. This annual survey collects data items at the academic department level. Data include counts of full-time graduate students by source and mechanism of support, by total and by women; part-time graduate students by sex; and citizenship and racial/ethnic background of all graduate students, including first-time students. In addition, the survey requests count data on post-doctorates by source of support, sex, and citizenship, with separate data on those holding first-professional doctorates in the health fields; and summary information on other doctorate non-faculty research personnel.

The 2005 graduate student survey was an establishment-based survey of 588 graduate institutions. NSF administers the survey to institutional coordinators at U.S. educational institutions with programs leading to graduate degrees in S&E and health fields. Institutions complete a separate survey form for each department granting S&E or health-related master's or Ph.D. degrees.

Key variables include: citizenship, doctoral non-faculty research staff, enrollment status (full-time versus part-time), field of science, engineering and health, level of study (first year, beyond first year), post-doctorate status, primary mechanism of financial support (e.g., fellowship, research assistantship), primary source of financial support (e.g., by specific federal agency), race/ethnicity, and gender. The following information is also available on the academic institution: academic institution name, department, geographic location, highest degree granted by department (doctorate/master's), institutional control (public versus private), and type of academic institution (e.g., historically black college or university, land-grant institution, medical school).

Nebraska Survey of Post-Secondary Education

Tuition and mandatory fee costs, full time enrollment data, tuition and mandatory fee revenue (gross and net), state appropriations, and need-based and non-need-based tuition waivers.

Peterson's Annual Survey of Graduate and Professional Units

Peterson's provides annual information about colleges and universities, career schools, graduate programs, distance learning, executive training, private secondary schools, summer opportunities, study abroad, financial aid, test preparation, and career exploration.

Information provided is for each separate graduate or professional unit and includes degree levels, specific degrees offered, degree requirements, types of programs offered, total graduate faculty (by full-time and part-time, male and female), total graduate and professional students (by full-time and part-time, male and female), foreign graduate students, total minority graduate students (by race/ethnic group), percent of applicants accepted, average student age, number of master's degrees

awarded, number of doctorate degrees awarded, tuition per credit hour, tuition and fees per year (both in-state and out-of-state), financial aid awarded, number of fellowships, number of research assistantships, applicant contact information, entrance requirements, minimum TOEFL score, number of teaching assistantships, research budget, and more.

Student Data for Allied Health Association Annual Survey

This report is a collection of student and course data by department for annual survey.

U.S. News and World Report Main Survey

This report is used to generate rankings of universities and programs published in the magazine. General Institutional Information, Enrollment, Graduation/Retention Rates, Admissions, Test Scores (SAT and ACT), High School Standing, Applications, Transfers, Alumni Giving, Faculty, Class Sections, Graduate Assistants, Degrees/Majors, Programs Offered, Combined Degree Programs, Consortiums, Student Activities, Athletics, Honor Societies, Religious Organizations, Ethnic Organizations, Other Organizations, Popular Campus Events, ROTC, Housing, Facilities, Regulations, Student Employment, Programs for Disabled Students, International Applicant Information, Guidance Facilities, Graduate Career Data, Firms that Hire Graduates, Accreditation, and Environment/Transportation.

U.S. News and World Report Medical Schools Survey

This report is used to generate rankings of medical schools published in the magazine. Admissions information; applications information; combined programs; financial aid; tuition and fees; enrollment; ethnic breakdown; applications/admissions/acceptances/enrollment by ethnicity, residence, gender; GPA and MCAT scores; undergraduate majors; program offerings; residencies; USMLE results; NIH research funds; teaching hospitals; and most popular residency and specialty programs.

University of Nebraska Administrative Salary Survey

This is a report on the salaries of top administrators at KUMC.

University of Oklahoma Health Science Center Survey

This report gives enrollment, FTE, Medical Residents, Faculty (full-time/part-time) information for two fiscal years.

Wintergreen Orchard House Survey

Wintergreen Orchard House collects detailed information for more than 1,900 4-Year Undergraduate Schools and 1,200 Graduate Professional Schools and publishes them in College Admission Data Sourcebooks.

Information reported includes: Website address; Admissions contact name, phone, and e-mail address; Accreditation; SAT/ACT/FAFSA numbers; Admissions procedures; Profiles of students; Programs; Resources and facilities; Student body composition; Tuition and expenses; Financial aid; Scholarships, grants, and loans; Student employment opportunities; Academic experience; Placement service; Athletics; Housing; Regulations; Local environment; Extracurricular activities; Guidance and student services; Transportation and parking.

Internal Recurring Reports:

The reports listed in this section are provided directly to faculty and staff within KUMC. Some of the reports are then used by the staff members to create a report to an external agency.

AAMC Faculty Roster

The AAMC initiated the Faculty Roster in 1966 to support national policy studies by collecting comprehensive information on the characteristics of paid faculty members at accredited allopathic U.S. medical schools. The Faculty Roster has grown to contain records on approximately 124,000 active full-time faculty.

Information provided in the Faculty Roster include: last name, first name, middle name, SSN, gender, birth date, ethnic group, campus, department, faculty title, effective date/ start date in current rank, appointment type, tenure status, tenure track start date, highest degree, year issued, and degree granting school.

Cardiothoracic Journal Club Evaluation

Data analysis of a web-based survey created for the Cardiothoracic Journal Club

COBRE/INBRE Information for Annual Progress Report/Renewal

K-INBRE is the Kansas IDeA Network of Biomedical Research Excellence. In Kansas, the INBRE grant supports research strengths in cell and developmental biology. COBRE grants fund Centers of Biomedical Research Excellence. The COBRE

grants support research in protein structure and function, epithelial cell physiology, drug development, infectious disease, and liver health and disease.

The INBRE grant for the state of Kansas is located at the University of Kansas Medical Center. The five funded COBRE grants in the state of Kansas at Kansas State University, the University of Kansas – Lawrence, and the University of Kansas Medical Center. Two additional COBRE grants have been submitted and are under review: one from the University of Kansas – Lawrence and another from the University of Kansas Medical Center.

Personnel information provided includes the number of total and newly-hired faculty involved in science/health-related research, students involved in such research by degree level; the number of degrees awarded by level for students in science/health-related departments; School of Medicine faculty by type for Fall 2004-07; and students (undergraduate and graduate) by school for Fall 2004-07. Also provided was KLSIC building information (square footage, cost and equipment), investigators, and faculty.

Continuing Bond Disclosure Update

This is an annual update of enrollment, faculty, financial aid, licensing, and peer tuition statistics for KUMC's portion of the Continuing Bond Disclosure.

Continuing Education/Distance Education Information for Higher Learning Commission Report

This report gives enrollment in credit/non-credit certificate programs and certificates awarded, as well as enrollment in on-line courses.

Equal Employment Opportunity (EEO) Extract

Preparation of official extract of job, salary, and demographic information required for preparation of KUMC's affirmative action plan: emplid, name, tenure status, tenure code, paygroup (MUE, MAC, MUN, etc.), EEO6, gender, ethnicity, date of birth, citizenship, primary department, primary department name, primary job name, part-time/full-time, annual salary, FTE, hire date, service date, paidfac flag, empcat, school, position description, total salary, school description, tenure status, EEO job code, EEO6 new code, and EEO simple code.

Fall and Spring Enrollment Reports

Fall and Spring Enrollment Reports provide a 5-year history of enrollment broken out by the School of Medicine, School of Nursing, School of Allied Health, and other programs (students counted at Lawrence that semester). Within each school, enrollment is reported by program and level. Enrollment is also provided by race/ethnicity, with counts and percentages for total minority enrollment as well as total underrepresented minority enrollment. An additional table reports enrollment by student level and gender.

Fiscal Year Degrees Awarded

The basic report is a listing of the number of degrees by school and level. (This report is also prepared for the academic year.)

Faculty and Staff Minority Statistics

The report provides faculty and staff breakdown by school, program, job classification, faculty rank, gender, tenure status, and race/ethnicity.

FTE Reports

FTE is calculated in a number of different ways for different purposes. Fall faculty FTE is calculated for licensing of a database for the library. Headcounts and FTE of faculty, staff, graduate assistants, and students (as reported to IPEDS) are calculated to determine FTE for the Oracle licensure agreement.

KU Profiles Tables

The Profiles Tables provide faculty headcount by job classification, race/ethnicity, full-time/part-time status, gender, tenure status, and rank; non-faculty staff headcount by race, ethnicity, full-time/part-time status, and gender for KU Profiles.

Research Awards and Proposals

Provides a list of award information based on some criteria (e.g. certain time frame, designated school/department, certain type of grants) to the university faculty/staff. The information provided includes proposal ID, PI name, primary department, sponsor, grant title, award start date, award end date, total amount of direct and indirect costs, sponsor award number, version ID, etc.

Research Monthly Report

Monthly report on Grant and Clinical Trial Activity provides a general review and comparison of grants and clinical trials at KUMC in the past five years (including current year). The information provided includes the number of applications, the requested direct/indirect/total costs, the outcomes of applications to date (awarded/pending/rejected/other), the number of new grant awards, the first awarded dollars for new awards, the number of awards active during the current month, the awarded dollars of all awards for current period, the number of new clinical trial awards, the awarded dollars of all clinical trial awards for current period, the KUMC and Federal 12 month NIH success rates by rolling 12 month, and the 12 month yield rates by rolling 12 month period.

The report is broken into nine parts:

- 1) Summary;
- 2) The trend by fiscal/current year progress toward budget, and projections of Fed/State earned F&A for the next fiscal year;
- 3) All standard grants (excluding clinical trials);
- 4) All federal and state grants (excluding clinical trials);
- 5) NIH direct grants (excluding clinical trials and subcontracts);
- 6) All private grants (excluding clinical trials);
- 7) All clinical trials (excluding standard grants);
- 8) All sponsored programs (including clinical trials);
- 9) The list of new proposals (excluding clinical trials) in current month.

Three kinds of time intervals are used to aggregate the data for this report: the rolling 12-month period (previous 12 months including the month for monthly report); the fiscal year-to-date (e.g. July 1 through April 30 for April monthly report); and the full fiscal year (a full 12-month for all years except the current fiscal year).

Data to generate the monthly report are extracted from the Research Institute Grants Module on the last day of each month.

Research Institute Annual Report

The Annual Report provides an overview of all extramural sponsored program activities for each fiscal year at the University of Kansas Medical Center (KUMC). It includes information on grants, contracts, cooperative agreements, and other submissions and awards to enhance understanding of the scope of research and other sponsored activities supported or seeking support from the extramural funding community.

The 2007 annual report has six parts:

- 1) Overview (including awards overview, proposals overview, clinical research dollars contracted and received overview, expenditures overview, Biotechnology Development Center of Greater Kansas City (KCBDC) overview, 2007 faculty research day overview);
- 2) Extramural Awards (including FY 2007 extramural awards summary, FY 2007 awards by funding agency type, FY 2007 awards by school, departments with highest total award dollars, principal investigators with highest total award dollars, NIH rankings, awards (grants and clinical trials) managed in FY 2007);
- 3) Proposals (including proposals submitted during FY2007, status of proposals submitted during FY 2007, departments with highest total requested dollar submissions, principal investigators with highest total requested dollar submissions);
- 4) Clinical Research Contracts (including clinical research dollars contracted and received);
- 5) Extramural Expenditures (including expenditures by funding type; direct and indirect costs);
- 6) Board of Directors and Staff (including list of board of directors, directory of personnel).

Research Grant Dashboard (a web-based report)

Twelve research indicators were selected for the dashboard. Currently, all indicators are reported by fiscal year-to-date, though there are plans to create a rolling 12-month version of the dashboard. On the dashboard there are three gauges and nine charts:

- Projected F&A earnings compared to budget
- Current period awards compared to previous fiscal year-to-date
- Total dollars requested compared to previous fiscal year-to-date
- Actual F&A earnings compared to budget in current fiscal year
- Direct and indirect costs awarded in current period by fiscal year-to-date
- Total clinical trial revenue by fiscal year-to-date
- Count of new proposals by fiscal year-to-date
- Count of new awards by fiscal year-to-date

- Count of active awards in month of report by fiscal year (January 2004, January 2005, etc.)
- Total dollars requested by fiscal year-to-date
- Total dollars awarded by fiscal year-to-date
- NIH success rate, direct costs yield rate, and indirect costs yield rate

Research Grant Investigator Committed and Expended Effort

This report provides a comparison by person of committed to expended effort. Data from two data sources needed to be integrated to provide this report. The data are summarized by department.

Research Grant Principal Investigator Activity

A report template has been developed and can be produced for an individual or small group. The report shows award activity over time, awarded dollars over time, a list of awards and pending proposals, and the PI's support.

Research Grant Projections

This report provides predict earned indirect costs (F&A) for the next fiscal years to University faculty/staff.

Research Grant Trends

Currently, items included in the dashboard are presented in three charts each with a different time period: rolling 12-month period, fiscal year-to-date, and full fiscal year. The rolling 12-month period chart aggregates the data over the twelve months that end with the month of the report. Thus for example, the 12-month period for the January report has data aggregated from February 1 of the preceding through January 31 of the current year.

SOM Faculty Information

This report gives the number of Fall 2007 SOM volunteer faculty (KC and Wichita), plus SOM faculty by FT and PT status; faculty and staff breakdown by gender, race/ethnicity, clinical/basic sciences, etc.

Space

This space productivity report was created with a productivity quotient (award dollars for FY 2008 over the KLSIC building lab space assigned) for each faculty member in the KLSIC building. This required linking the Grants Module with the Space database by faculty member. The data in the Space database linking rooms to people is not one-to-one and is about a year-old.

Student Health Services User Evaluation Survey

Data analysis of a web-based survey created for the evaluation of Student Health Services, including satisfaction ratings, comments, and demographic data.

Tuition Projections

This report provides regular updates of fiscal year tuition projections (minimally in Fall and Spring) by semester, level (undergraduate and graduate), and school. Breakdowns include in-state and out-of-state and on-campus and off-campus.

Volunteer Faculty

This report gives the number of volunteer faculty by campus and school.

Selected, One-off Projects, Analyses and Reports:

In this section we give examples of recent, non-recurring reports.

Internal Medicine Faculty List

Current Internal Medicine faculty list including department, title, hire date, FTE, full-time/part-time status, contract type, degree.

New Students

Head count of students enrolled in three semesters who were not previously enrolled.

Postdoctoral Fellows Database

In this case we provided data for a database of postdoctoral fellows, including demographic information.

Rankings Data

Detailed information on components of U.S. News and World report Graduate Schools rankings for Medical Schools and Research. Provided two years' of data for comparison purposes.

T32 Training Grant Submission to NIGMS

This was a classification of applicants, accepted, and enrolled students by eligibility for training grant and underrepresented minority. Statistics supplied include Mean GRE Quantitative, Mean GRE Verbal, and Mean GPA. The National Institute of General Medical Sciences (NIGMS) is one of the National Institutes of Health in the U.S. Department of Health and Human Services. By supporting basic biomedical research and training nationwide, NIGMS lays the foundation for advances in disease diagnosis, treatment, and prevention.

Administrators' List

List of KUMC administrators with e-mail addresses.

Classification of Instructional Programs (CIP) Code Assignments

Provide recommended CIP codes for new programs.

The purpose of the Classification of Instructional Programs (CIP) is to provide a taxonomic scheme that will support the accurate tracking, assessment, and reporting of fields of study and program completions activity. CIP was originally developed by the U.S. Department of Education's National Center for Education Statistics (NCES) in 1980, with revisions occurring in 1985 and 1990. The 2000 edition (CIP-2000) is the third revision of the taxonomy and presents an updated taxonomy of instructional program classifications and descriptions.

Consumer Price Index 5-Year History

We provided a 5-year history by month of Consumer Price Index - All Urban Consumers and Physician's Services. Also provided Medical Care Index, article about using the CPI for escalation, chart of percent changes in various indexes over time, and descriptions and percent changes for all of the health-related Consumer Price Indexes.

Continuing Education/Distance Education Information for Higher Learning Commission Report

Enrollment in credit/non-credit certificate programs and certificates awarded. Fall enrollment in on-line programs.

Credit Hours – School of Nursing

We provided credit hours and headcounts in School of Nursing for AY 2008, for undergraduates and graduate students.

Credit Hours for MPH Students

We provided credit hours and tuition paid (in-state, out-of-state) for MPH students.

Degrees Conferred by Kansas 4-Year Colleges and Universities

This was a report of the number of bachelor's degrees conferred by all the 4-year institutions (both public and private) in Kansas from 1994-95 to 2006-07.

Department List

We provided a KUMC department list for KU Profiles tables.

Credit Hours/Demographics of Nursing Students**Faculty With Multiple Post-Bachelor's Degrees**

We provided a list by school and department for the distinguished teaching award.

Faculty With First Professional Degrees and at Least One Master's Degree

We provided a list of KUMC faculty with first professional degrees and at least one master's degree.

Graduate Courses

This was a report on School of Medicine courses taught by faculty, categorized by lab, seminar, research and didactic (other).

Graduate Student Data

This was a report on the number of graduate students by campus, by degree pursued, and by whether student passed comprehensives.

Graduate Student Headcount

Headcount required for the calculation of the amount of dues owed for membership in the Midwestern Association of Graduate Schools.

Health Policy and Management/Preventive Medicine Faculty and Student Data

We provided total FTE and headcount by program; salary by program by budget department; Fall 2007 data for KC and Wichita (full-time/part-time, tenure status, salary information, faculty rank, etc.); enrollment in the Health Policy & Management program (total and by gender, ft/pt status, and race/ethnicity); and credit hours and tuition paid (in-state vs. out-of-state) for MPH students for two semesters.

Hispanic Paid Faculty

Total full-time and total Hispanic SOM paid faculty.

KLSIC Building Productivity Report

This was a report on research grant productivity (awards/lab space) for faculty with lab/lab service space in the KLSIC Building.

Medical Fellows' Slots Funded

Number of medical fellows' slots funded by department.

Medical Residents' Database

A database of medical residents who completed their medical residency at KUMC from 1990-2007 was compiled using, cross-checking, and verifying data from the Alumni Association, AAMC GME Track, commencement programs, and the student database, among other sources. The data was then given to the School of Medicine so that it could be integrated with practice information.

Medical Students Who Attended Southwestern College, Kansas

We provided a list of medical students (last four years) who attended Southwestern College (KS) as an undergrad.

Physicians Practicing in Kansas

KUMC graduates currently practicing in Kansas.

School of Allied Health Benchmarking Survey (Santa Fe)

Student information (FT/PT, test scores, race/ethnicity, enrollment), degrees, credit hours, number of courses, admissions, faculty, research grant information

Schools of Public Health Data Requests

Numerous requests for data specific to Schools of Public Health to help develop a proposal/assist in preparing information for future accreditation included faculty productivity benchmarks; number and type of faculty; and faculty salary information from CUPA for CIP 51.22, Public Health. Obtained faculty database from ASPH and summarized it by number of faculty by title and FTE by school; total faculty FTE by school and program area; and detail of number of faculty by school, program area, and title. Aggregated faculty salary statistics from ASPH for possible peer group schools of Public Health. Provided information on on-line courses in Schools of Public Health. Provided a number of proposals/self-studies/strategic planning documents that would facilitate preparation of a proposal for a KUMC School of Public Health

Students from Rooks, Graham, and Phillips Counties

Provided a list of students from these counties to notify for special scholarship opportunity.

Space Devoted to Outpatient Care

Calculation of space devoted to outpatient care for an NIH application.

Student Credit Hour Statistics

Provided student credit hours for part-time students (means and number of students plus a list for each semester by UG and GR students) for Summer 2007, Fall 2007, and Spring 2008.